



UTR Pro Tennis Tour (PTT) Rules and Regulations

Effective: September 1, 2020 to December 31, 2023 unless revised earlier.

Last updated: February 14, 2023

I. PURPOSE AND APPLICABILITY

Universal Tennis LLC (UT) has created the UTR Pro Tennis Tour (PTT) Tournament Rules and Regulations for the purpose of providing fair and reasonable rules for the orderly and uniform conduct of international professional tennis tournaments as part of the tour. All references to the UT in these Regulations shall mean Universal Tennis LLC and PTT shall mean UTR Pro Tennis Tour. These Regulations shall be applicable to all Tournaments sanctioned as part of the UTR Pro Tennis Tour.

For these UTR PTT Rules and Regulations, the following definitions shall apply:

- Regulations refer to UTR PTT Rules and Regulations including the Code of Conduct, applicable appendices, UTR PTT Organizational Requirements, and the ITF Rules of Tennis. These Regulations can be amended from time to time by UTR.
- UTR PTT Organizational Requirements, also known as Organizational Requirements, are the Regulations that a Tournament Organizer must follow when running a PTT tournament.
- The Tournament Week is an assigned week on the calendar for each PTT tournament defined by the date of the Monday of that week. Both Qualifying and Main Draw will begin on Sunday and the tournament must finish no later than Sunday of the same week.
- Each player entrant in a PTT tournament agrees as a condition of such entry to abide by and be subject to these regulations and any other regulations, policies, or requirements as enforced by a Tournament Organizer, approved by UTR for inclusion on the player's entry form.
- Approval to sanction a PTT tournament may be subject to conditions imposed by UT in its sole discretion.
- UT reserves the right to refuse approval of or cancel any previously sanctioned PTT tournament or PTT for reasons of health, safety, security or any other potential threat to any persons attending the PTT(s) or to the successful running of the PTT(s), without any liability on behalf of the Tournament Organizer, or UT. For the avoidance of doubt, neither UT or the Tournament Organizer shall be liable to any players or any other persons or entities for any costs that they might have incurred in relation to such canceled competitions, including, without limitation, any transport and/or accommodation costs. This decision will be made on behalf of UT by the Executive Committee



Tournament Personnel

1. Tournament Director/Administrator

Each UTR PTT tournament must have one or more persons (i.e. the Tournament Director(s)) to be the point of contact for UT for all communications. The Tournament Director will be responsible for all aspects of the organization of the tournament, with the exception of all matters relating to the UTR rules of competition and format, the Rules of Tennis and all on-court issues, which are the responsibility of the Referee onsite.

The Tournament Director and/or Tournament Administrator must be on-site throughout the whole tournament, willing and ready to address any problems related to the organization of the tournament, players, officials, media and spectators. He/she must be English speaking and have an awareness of and understand the responsibilities of his/her position. He/she is prohibited from competing in a tournament for which he/she is undertaking this/these role(s).

The Tournament Director must have a private office with a computer, desk, internet access, and a minimum of one international telephone line. These numbers must be provided on the Tournament Fact Sheet. A photocopier and sufficient supply of photocopying paper; microphone for public address system (if necessary), communication devices (such as walkie-talkies) must be provided to the Referee, each Chair Umpire and the Sports Medicine Trainer (PHCP).

The Tournament Director is obliged to enforce UT's final decisions on-site if required.

2. Officials

Each tournament, in conjunction with UT, must appoint and provide an appropriately nationally certified and experienced official to act as the Referee. The Referee must be available onsite throughout the tournament week, from the Qualifying until after the final match of the tournament has been completed, unless otherwise approved by UT.

The Referee is responsible for all aspects of play during the tournament, and act as the final authority for on-site issues related to the interpretation of applicable Regulations, Rules of Tennis, Code of Conduct, and the Duties and Procedures for Officials as to all matters arising that require immediate resolution at the Tournament site. The Tournament Director is obliged to enforce the Referee decisions on site if required.

Names of the designated officials must be provided to UT at least three (3) weeks in advance of the Tournament. Tournament directors and national associations are encouraged to provide this information well in advance of the deadline. Failure to comply with this deadline may result in the removal of the tournament from the UTR PTT



2.1 Chair Umpires

The UTR PTT has a minimum officiating requirement of one (1) nationally certified chair umpires on each match court at all times, not including the Referee. Additional chair umpires are scheduled to allow for rotation onto the match courts. The chair umpires must make all line calls during the match and input the required score and data into a tablet provided by the tournament. Comfort in operating the tablet is needed and training is required by the Referee.

In playoff matches, it is recommended to have at least one line umpire on court with the chair umpire to assist in line calls. Tournaments that wish to supply a higher certification level of officials or more officials than the minimum requirement are encouraged to do so.

The tournament is responsible for all fees for all umpires and/or line judges and meeting other conditions as required.

3 Tournament Doctor

The Tournament Director is strongly encouraged and recommended to appoint and pay all costs for an English-speaking medical doctor to be on call in proximity to the tournament site at all times during playing hours. If it is more practical or cost efficient for the tournament that the doctor conducts necessary player consultations and treatments off-site, the costs of consultations / treatments that could practically be conducted by the doctor on-site remain the responsibility of the Tournament Director.

All players accepted and competing in the tournament, including eligible Lucky Losers shall be eligible for treatment from the doctor, as a minimum for the duration of their participation in the tournament.

The cost of hospital treatment or any other off-site medical treatment (except as described above) is the responsibility of participating players at all levels of the tournament. Except as otherwise agreed, UT is not liable in any way for such hospitalizations and/or medical treatment.

4 Sports Physiotherapist/Trainer

All players accepted and competing in the PTT, including eligible Lucky Losers, shall be eligible for treatment from the Sports Physiotherapist/Trainer, as a minimum through the day of elimination. Where the Tournament Director is responsible for appointing the Sports Physiotherapist/Trainer, he/she must ensure the Sports Physiotherapist/Trainer has appropriate qualifications and experience to treat players. Sports Physiotherapists/Trainers must be familiar with standard tennis medical treatment procedures and the general Rules of Tennis.

The Tournament Organizer must appoint an English-speaking Sports Physiotherapist/Trainer to provide on-site health care services for the players at no cost to them, beginning one hour before matches commence until completion of all matches.



A reasonable fee may be charged for massage, although it is recommended that this is also provided free of charge.

Related Regulations

The ITF Rules of Tennis shall apply in all PTT matches with National Association regulations also applicable.

Mutual Recognition: Any penalty imposed upon a player under these Rules and Regulations may be recognized and respected by the Associations or Federations, both at a State and/or National level respectively.

Enforcement of Regulations and resolution of disputes

1. On-site enforcement

It is the responsibility of the Referee to enforce these regulations on site including but not limited to the following:

- a) unless expressly referred elsewhere, an allegation that a player has committed an offense under the Code of Conduct that is not a Major Offense or a breach of the Welfare Policy;
- b) any disputes or questions of interpretation that arise on-site at a PTT (to be resolved in accordance with the Rules of Tennis); and
- c) any other decisions, disputes, questions of interpretation or other matters.

The Executive Committee will hear appeals from only the person or entity that is the subject of the decision being appealed and will have final authority on:

- a) any request for a decision that is entrusted under these Regulations (including the Code of Conduct);
- b) any dispute or question about the proper interpretation of these Regulations;
- c) any dispute or question about player eligibility arising under these Regulations;
- d) any allegation that a Covered Person (as defined in the TACP¹ as per January 1, 2023) has committed a breach of the Welfare Policy;

¹ UT does not have a formal relationship or partnership with the International Tennis Integrity Agency (ITIA), who established the Tennis Anti-Corruption Program (TACP) and Tennis Anti-Doping Program (TADP), however all suspensions and penalties imposed by the ITIA for violations of the TACP and TADP will extend to all PTT tournaments.



e) any allegation that a player, Related Person (as defined in the TACP effective January 1, 2023) or other person or entity bound by these Regulations has failed to comply with any other aspect of these Regulations (unless expressly referred elsewhere); and

f) any other dispute arising out of or relating in any way to these Regulations;

g) an allegation that a player or Related Person has committed a Major Offense under the Code of Conduct; and

h) any allegation that a Tournament Offense has been committed under the Code of Conduct.

A player, Covered Person or Related Person, upon submitted entry to a UTR PTT tournament, is automatically bound by professional industry standard anti-corruption protocols.

Any player or Related person alleged to have breached the TACP shall be immediately referred to the International Tennis Integrity Agency (ITIA) for their consideration. UT will voluntarily forward all relevant details of the person and the circumstances of the alleged breach to the ITIA. UT will also conduct an investigation into any matter alleged to have breached the integrity of the sport.

2. Sanctions

Where UT upholds an allegation of breach of these Regulations, it will determine the sanctions for such breach with guidance from the sanction's provisions in the Code of Conduct.

3. Governing Law

These Regulations and any dispute arising out of or in connection with them (including any dispute or claim relating to non-contractual obligations) shall be governed by and construed in accordance with Delaware law, without regard to the conflict of law principles.

Each Player, official, staff, employee, contractor, agent, and representatives agrees to submit any disputes or claims or other matters arising in relation to the Regulations (including any non-contractual disputes or claims) to arbitration pursuant to the resolution of disputes process provided for in this Section I.E (as applicable), to the exclusion of any other court or forum.

F. Amendment of Regulations

These UTR Rules and Regulations may be amended, repealed or otherwise modified, in whole or in part, by the Executive Committee at any time. UT may grant dispensations from, modify, waive or otherwise alter these Regulations or their application in extraordinary circumstances.



II. DEFINITIONS

Acceptance List

The list of players who have entered a PTT tournament and have been sorted based on PTT Ranking/UTR Rating.

Alternate (Direct Acceptance)

An eligible player who is listed lower than the Direct Acceptances into Main Draw and Qualifying based on PTT Ranking/UTR Rating and can fill any vacancy as detailed before the Freeze Deadline.

Committed

A player's status if at any time after the Withdrawal Deadline they appear in the singles Main Draw or singles Qualifying Acceptance List of a PTT. A Committed player is obligated to compete at the PTT.

Direct Acceptances (DA)

Players who have entered and been directly accepted into the singles Main Draw or Qualifying Draw by virtue of their PTT Ranking/UTR Rating.

Entry

A request by a player to register for a PTT. Entries are only accepted from players through their activated account on the UT application (www.universaltennis.com or mobile app) and who meet all necessary requirements stipulated in the Regulations. The player must submit their Entry before the Registration Deadline.

Registration Deadline

The time by which a player must enter in order to be considered for inclusion on the Acceptance List. The Registration Deadline for singles is at 2:00 pm American Eastern Standard Time(EST) on the Monday fourteen (14) days prior to the Monday of the PTT tournament week.

Entry List

The list of players who have entered the PTT tournament up until the Registration Deadline and before the Acceptance List has been made.



Fact Sheet

Each Tournament will provide information regarding the Tournament site, dates, court surface, type of courts, travel, prize money deductions, and other relevant information regarding the PTT tournament. The Fact Sheet, also referred to as the Tournament Fact Sheet, is available in the specific PTT tournament's event page on www.universaltennis.com.

Freeze Deadline

The Freeze Deadline takes place at 2:00 pm American Eastern Standard Time (EST) Thursday, four (4) days preceding the Tournament Week. After the Freeze Deadline has passed and the Acceptance List is processed, no further movement is permitted on the Acceptance List and will be subject to larger fines.

UT Profile Activation and Membership

A player must activate their profile, pay for their Power Subscription and register to play in a PTT tournament. Players will receive documents including rules, code of conduct, tennis integrity documents, W8/9, etc. from UT to sign in advance of entry into PTT tournaments.

Late Withdrawal

A Withdrawal by a player accepted into the singles Qualifying that takes place any time after the Registration Deadline and up until the start of Qualifying and any Withdrawal from a Main Draw player that takes place after the Registration Deadline until the commencement of play.

Lucky Loser (LL)

Lucky losers are randomly drawn from positions #1 - #4 at the conclusion of Qualifying from the players who have lost.

Main Draw

The Main Draw is the principal competition of a PTT tournament.

On-Site Alternates

Non-entered players who attend at the Tournament site (prior to the Qualifying) to sign-in for the Qualifying. In order to be eligible as an On-Site Alternate, a player must have a valid account, be above the minimum level required by tournament, sign-in with the Referee at the Tournament site thirty (30) minutes prior to the first match of Qualifying and have paid the entry fee and any applicable fines (if there are outstanding fines to be paid by the player).



Qualifiers (Q)

Players who are included in the Main Draw as a result of their success in the Qualifying competition.

Qualifying

The Qualifying draw refers to the preliminary rounds of a PTT tournament, in which the participating players have not qualified for entry into the Main Draw based on the initial requirements but have earned the opportunity to compete to play in the Main Draw.

Wild Card (WC)

A player accepted into the Main Draw or Qualifying as determined by the Tournament Organizer and/or UTR and with approval from UTR.

Withdrawal

When a player withdraws from a PTT tournament pursuant to the applicable regulations. Withdrawals can be made online or through email (ptt@universaltennis.com).

III. PTT TOURNAMENTS

A. Player Eligibility

1. Open Competition / No Discrimination

UTR tournaments are open to all tennis players based on merit and without discrimination. Minors under the age of fourteen (14) at the close of the Registration Deadline shall not be eligible for Entry. For the purposes of these Regulations, the player's age as of the Monday of the Tournament Week shall be used. If requested, a player must provide proof of identity and/or age through photo identification (passport or driver's license) to UT.

1.1 Minimum Ranking requirements

Male players must have a UTR of at least 12.5 and be outside the top 200 PTT ranking. Women must have a minimum UTR of 9.70 and be outside the top 200 PTT ranking.

Players ranked 1-200 or 2000 and below will only be accepted with a wild card approved by UTR. All other eligible players will be placed in the alternates list and entry fees refunded.

Changes to these requirements may be made at the sole discretion of UT.

Note: Players with a Projected UTR will have their eligibility for PTT tournaments determined by the UT Committee.



2. Nationality

A player's nationality for the PTT is determined at activation of their account/profile and must be aligned with all other national representation. UT may request from the player at any time a copy of their current, valid passport as proof of nationality.

3. Universal Tennis Membership and Power Subscription

In order to enter PTT Tournaments all players must first activate their account, register for a subscription (and pay the appropriate fee) and agree, as a condition of their registration, to accept the related terms and conditions and any other required documents. Failure by a player to become a member, register for and to accept the related terms and conditions and other documentation will render a player ineligible to enter and compete in Tournaments.

All players wishing to compete in a PTT Tournament are responsible for ensuring they are fit to play and are advised to obtain a yearly physical examination from a certified physician.

A player's account will provide information about all PTT Tournaments. This account will enable players to view the Tournament calendar and enter and view UTR rating information, analytics, and other pertinent information about the tournaments, including important tour announcements.

4. Travel Documents

All players are responsible for checking the entry requirements for each nation, including necessary travel documentation and visa application deadlines in order to enter and play PTT tournaments in that nation.

5. Play-Down Restrictions

Players with a PTT ranking of 1-200 in singles at the Registration Deadline are prohibited from direct acceptance in any PTT tournament.

Top 200 players are eligible to play with a Wild Card. The Tournament Organizer must petition UT at least four (4) days prior to the Monday of the Tournament Week. The decision will be solely that of UT and may not be appealed.

B. Categories of Tournaments

For the purpose of these Regulations, all PTT tournaments will be differentiated based on geography. All references to prize money are expressed in US Dollars.



C. Tournament Events

Each PTT tournament must consist of a singles Main Draw and Singles Qualifying, unless there are insufficient entries to run a Singles Qualifying draw or otherwise approved by UT.

D. Tournament Week

Each PTT Tournament is assigned a specific tournament week on the calendar defined by the date of the Monday of that week.

E. Tournament Format and Schedule

The PTT will have two formats: a 20 person field and a 36 person field. Both formats and their schedules can be found on www.universaltennis.com and in the tournament event details as shown in the application. The number of courts and matches each day are shown for guidance but are subject to change as tournament draws are completed and the order of play is set.

F. Match Format

1. Singles Main Draw

The best of three (3) tie-break sets, unless a “Modified Format” is otherwise approved by UT. Ad scoring must be used.

2. Singles Qualifying

The best of three (3) tie-break sets, unless a “Modified Format” is otherwise approved by UT. Ad scoring must be used.

3. Modified Format

In extraordinary circumstances, e.g. bad weather, the Tournament Organizer, with explicit approval of UT, may modify the format of matches in the singles Main Draw only as follows:

The best of three sets with two (2) tie-break sets, and if the score reaches one set all, one match tie-break game (10 points) shall be played to decide the match. Ad scoring must be used.

F. Balls

All balls used at PTT tournaments must conform to professional standards and must be included in the fact sheet of the tournament. Balls used for practice must be identical to those used for matches. Players must return practice balls.



In the Main Draw and Qualifying, a minimum of four (4) new balls must be provided for each match, which must be changed at a maximum of nine (9) games and thereafter every eleven (11) games. More frequent ball changes are acceptable and must be communicated in the fact sheet.

A minimum of three (3) once-used balls of the same type and brand as the match balls must be available for practice per day, free of charge, to any player accepted into the Main and/or the Qualifying Draw, from the day prior to the commencement of the respective draw until he/she is eliminated from the tournament.

G. Artificial Lighting

Play is allowed with artificial lighting provided there is a minimum of 500 lux evenly distributed over the court surface

H. Waiver of Claims

In submitting an Entry into a UTR tournament, Player is fully aware that there are a number of risks associated with traveling to and from the event, entering the property where the event is taking place and participating in the event, including without limitation: (a) Player could contract COVID-19 or other diseases such as the flu which could result in a serious medical condition requiring medical treatment in a hospital or could possibly lead to death; and (b) Player will be subject to normal risks associated with participating in a tennis tournament, such as physical injuries. Player, knowingly and freely, assumes all such risks, both known and unknown, relating to Player's participation in the tennis tournament as described above, and Player hereby forever releases, waives, relinquishes, and discharges UT as well as the physical host of the event, along with their respective officers, directors, managers, officials, employees, affiliates, residents, or other representatives, and their successors and assigns (collectively, the "Sponsor Representatives"), from any and all past and/or future claims, demands, liabilities, rights, damages, expenses, and causes of action of whatever kind or nature, and other losses of any kind, whether known or unknown, foreseen or unforeseen, (collectively, "Damages") as a result of Player participating in the tennis tournament as described above, including but not limited to those related to the above described personal Injuries, death, disease or any other loss. Player further promises not to sue any of the Sponsor Representatives and to hold them harmless from any and all Damages resulting from Player's travel, attendance and/or participation in the tennis tournament.

Nothing in these Regulations excludes or limits the liability of UT or any of the other parties listed above:

- a) for death or personal injury caused by their (respective) negligence;
- b) for fraud; or
- c) to the extent that such exclusion or limitation is not permitted by applicable law.



I. Publicity and Promotion

1. Each player grants to UT and/or any affiliated National Association, the Tournament and their agents and assignees the right, in perpetuity and for commercial and non-commercial purposes:

- a) to use or authorize the use of his or her name, voice, photograph, likeness, signature, biographical material and other identification, in any and all media, for the purpose of publicizing and promoting the sport of tennis, UT and/or any affiliated National Association, the Tournament and their respective affiliates and events including the right to use the same on social media, event posters, photos, programs, merchandise and other digital media and/or materials, and for the televising broadcasting and filming of the same; and**
- b) to make, show and otherwise use, in any and all media including social media, still and moving pictures, whether live or otherwise, and any other visual and/or audio-visual reproductions of his or her performance or appearance as a sportsman on and off the court during the event.**

2. With respect to sub section 1. above:

- a) there will be no compensation payable in relation to the grant of such rights; and**
- b) the rights granted may not be used in such a way as to constitute an endorsement by the player of any product or company.**

IV. FINANCIAL

A. Payments

UT will pay the prize money to all players participating in the Tournament in accordance with the UTR prize money breakdowns ("Prize Money"), unless otherwise noted.

B. Prize Money Payments

UT will make prize money payable by bank transfer available to eligible players in accordance within the criteria stated below.

C. Tax Deductions

Any Government income tax deductions from prize money must be stated on the Tournament Fact Sheet by the Tournament Organizer. No taxes other than the stated income tax will be deducted from prize money.



D. Fines and Fees

If a player owes any fines and/or fees, then UT shall deduct any unpaid fines and fees from a player's prize money. These fines and fees will be communicated promptly by the Tournament Organizer to UT within two (2) days after violation or within one business day after completion of the PTT tournament.

E. Currency and Exchange Rate

Prize money shall be paid in currencies as follows:

All prize money must be paid in US Dollars as set out in "Prize Money", unless otherwise noted.

F. Amateur Expenses

PTT tournaments may pay expenses to amateurs who compete, at the discretion of UT. Such expenses are limited to the actual and reasonable expenses of an amateur incurred pursuant to his participation in the tournament. The payment of expenses cannot be conditioned on the success of the amateur in the tournament. UT is not responsible for judging amateur status.

G. Registration Fees

A non-refundable registration (entry) fee of USD \$40 will be charged by UT per player at the time of registration of the Tournament. Further, a processing fee will be charged to players during the registration process.

V. SINGLES ENTRIES AND WITHDRAWALS

Unless specified, male players must have a UTR of at least 12.5 and be outside the top 200 PTT ranking. Women must have a minimum UTR of 9.7 and be outside the top 200 PTT ranking. Players ranked 1-200 or outside the top 2000 will only be accepted with a wild card approved by UT.

All players with a UTR in the top 2000 players of their gender, subject only to Age Eligibility and Play Down provisions of these Regulations, may enter UTR tournaments by the Registration Deadline and will appear on the tournament main, qualifying or alternate lists. Players should locate details about the Tournaments, including Fact Sheet, Player Lists etc. on the applicable event page on www.universaltennis.com

Players are encouraged to read the Code of Conduct for information on the penalties imposed for Late Withdrawals.



A. Entries

A player applying for Entry shall be deemed to apply for Entry into both the singles Main Draw and the singles Qualifying depending on their UTR in line with their PTT Ranking, which only consists of a fully reliable UTR Rating, unless otherwise noted, and the player's overall ranking within this band.

A player's status in a Tournament shall not be official until the Registration Deadline and publication of the Tournament Acceptance List on the applicable event page on www.universaltennis.com. A player may apply for Entry into up to two (2) PTT tournaments in a specific region during a Tournament Week and must indicate a priority in email to (ptt@universaltennis.com). A player may not apply for Entry into a PTT tournament if they are Committed to any other professional tournament during that period.

Notwithstanding any other provision of these Regulations, UT has the absolute right to refuse to accept or revoke any player's Entry to any PTT tournament(s). UT may exercise that right as it sees fit, with or without providing reasons.

B. Entry and Withdrawal Procedures

All Entries and Withdrawals must be submitted online through the player's account. Withdrawals must be made via email to ptt@universaltennis.com.

C. Registration Deadline

Unless otherwise noted, The Registration Deadline is at 2:00 pm American Eastern Standard Time(EST) on the Monday fourteen (14) days prior to the Monday of the Tournament Week.

The Freeze Deadline is at 2:00 pm American Eastern Standard Time(EST) on the Thursday prior to the Tournament Week.

In exceptional circumstances or during USA office holidays, UT may modify the deadlines, in which case players will be notified.

It is the player's responsibility to make all entries and withdrawals and to check their position on the Acceptance Lists.

D. After the Registration Deadline

After the Registration Deadline, players will be placed on Acceptance Lists for singles according to UTR Ranking. The Executive Committee will assess projected rated players on a case by case basis.



1. Procedure

If a player's chosen priorities are unclear, they will be immediately withdrawn from all but one Entry List, according to the following criteria, in order, until they are only on one Acceptance List:

- a) The Acceptance List on which they are highest placed will take priority, or if equal; then
- b) The Tournament which is geographically closest to their country of Nationality will take priority.

2. Commitment Rule

No player may withdraw their entry from the Main Draw or Qualifying of a PTT tournament for any reason, after the registration deadline. All withdrawals will be considered a Late Withdrawal and will be subject to the Late Withdrawal/No Show provisions set forth in the Code of Conduct, unless the reasons of on-site medical withdrawal or excused medical apply.

Prior to a Freeze Deadline in any given calendar year, players are allowed the following withdrawals without penalty: one for any reason, two for medical reasons. NOTE: These allowed reasons do not apply to any player found to be competing in any other tennis event during the period of such a tournament.

Players are encouraged to read the Fines Guidelines and Entry Offense provisions located in the Code of Conduct for information on the penalties imposed for Late Withdrawals.

After the Freeze Deadline, any player accepted into the singles Main Draw or Qualifying who fails to appear for the Tournament without notifying UT and the Tournament Organizer of their withdrawal and without submitting their official withdrawal, shall be subject to the penalties provided for No Show.

If a player is not accepted into any PTT tournament in a given week, they may remain on more than one Acceptance List as an Alternate until the Freeze Deadline.

3. Alternates

A player who appears on one or more Alternate List(s) is not Committed to play in any of these Tournaments and may withdraw at any time, without penalty, until they move into a Qualifying or Main Draw Acceptance List, at which time he will be automatically withdrawn from all other Acceptance Lists by UT.

E. Freeze Deadline

The Freeze Deadline is at 2:00 pm American Eastern Standard Time (EST) on the Thursday four (4) days preceding the Tournament Week. After the Freeze Deadline has been processed, any withdrawals will be



subject to larger fines and the top Alternates must make their commitment to the event known by emailing ptt@universaltennis.com Alternates may only commit to one alternate list (if they are on multiple lists), according to their priorities.

A player who wishes to withdraw from the Main Draw or Qualifying after the Freeze Deadline can only do so with a medical release and must submit an official medical form to both UT and the Tournament Organizer or they will be subject to the penalties provided for a No Show.

Any withdrawals after the Freeze Deadline will be replaced by the next highest player on the entry list, who will subsequently be replaced by, in order;

1. A committed top 4 Alternate;
2. An Extra Qualifying Wild Card, encouraged to be the entry list's next highest rated player available onsite

F. Playing Another Event / One Tournament Per Week

1. General

When a player is accepted into the Qualifying or Main Draw of a PTT Tournament as Direct Acceptance or Wild Card at any time after the Registration Deadline, the player is committed to that PTT tournament unless released by UT.

A player who is committed to and/or has played in the Main Draw or Qualifying of a PTT tournament may not compete in any other tennis event during the period of such tournament except as stated below.

A player is allowed to participate in another tennis event during the same week, provided they:

- a) Notify the PTT Referee and UT of their intention to do so before their first match in the PTT event; and
- b) Has played and been eliminated from the PTT event; and
- c) Is released by the PTT Referee after their last match in the PTT event to play in the other tennis event. The PTT Referee should send an email to Universal Tennis to advise they have released the player. The three conditions above must be met for a player release to be authorized.

A player who was a No Show, withdrew, retired or has been eliminated may not participate in any other tennis event during the same week unless released by UT.



Other than in the circumstances stated above, players found to have competed in another tennis event during the same week may be immediately defaulted from the event and will also be issued a fine as provided in the Code.

A player found to have entered another tournament during the same week as the PTT tournament where a player release has not been authorized, the player will be issued a fine as provided in the Code. Additional, or other penalties or sanctions may also be imposed at the sole discretion of UT.

In circumstances that are flagrant and particularly injurious to the success of the tournament, or are singularly egregious, a single violation of this Section shall also constitute a Major Offense of "Aggravated Behaviour" and shall be subject to additional penalties.

G. Withdrawal, No Show, or Retirement in Tournament

1. A player may not withdraw, no-show or retire from a match and then go on to play in any subsequent matches in the same tournament.

Prize money payout will be in accordance with the Withdrawal and Retirement policy.

Any player competing with less than Best Efforts will be subject to penalties in accordance with the Code of Conduct including Referee authority to default a player.

The vacancy in the draw will be filled with substitutes in accordance with the Alternate/Lucky Loser policy.

H. On-Site Medical from Week 1 & Participation in Week 2

1. A player may not withdraw onsite or retire from a Tournament in Week 1 and then go on to play in any other Tournament in Week 2 except for valid medical reasons. If a player is forced to withdraw onsite or retire from Week 1 due to medical reasons, and they are entered in a Tournament in Week 2, that player must receive a medical examination from the Tournament Doctor/Sports Physiotherapist from Week 1 and submit their medical examination to the Tournament Doctor/ Sports Physiotherapist for Week 2. To be eligible to compete in Week 2 the player must receive a medical examination and clearance from the Tournament Doctor/Sports Physiotherapist one day prior to the start of play by 6:00pm local time for clearance to return to competitive tennis.

A player is not allowed to play in Week 2 if:

- a) they have withdrawn or retired from Week 1 without cause, or
- b) they have withdrawn or retired from Week 1 for valid medical reasons, but fail to receive a medical examination for Week 2 from the Tournament Doctor/Sports Physiotherapist.



Any player who is discovered to have contravened rules be subject to a fine pursuant to the Entry Offences section of the Code of Conduct.

2. A player that has endured a medical condition during the Tournament Week, but who completes their Tournament matches, may obtain a Medical Certificate from the Tournament Doctor/Sports Physiotherapist that can be used to withdraw from the following week's Tournament without penalty. The player must obtain the Medical Certificate within 24 hours of completing their final match.

If the player wishes to use the Medical Certificate to withdraw from the following week's Tournament then the player must inform UT and the Tournament Organizer of the next Tournament (if after the Freeze deadline) no more than 24 hours after obtaining the Medical Certificate.

I. Wild Cards

Wild Cards are eligible players included in the Main Draw or Qualifying at the sole discretion of the Tournament Organizer or UT. Wild Cards may be seeded. Players receiving Wild Cards must meet minimum eligibility requirements, have an account with Power Subscription and all Wild Cards must be approved by UT.

Wild Cards should be named at least five (5) days prior to the Qualifying or Main Draw is made. Wild Cards cannot be replaced or changed once the appropriate draw is made. Any such vacancies shall be filled with Alternates or Lucky Losers as applicable.

Players and Tournaments should retain written confirmation of the offer and acceptance of a Wild Card. Players and Tournaments may not offer and/or receive any compensation for receiving or awarding a wild card. Acceptance of the offer of a Wild Card constitutes a player's Commitment to the Tournament.

No player who has signed-in for and been accepted into the Qualifying of the Tournament may be named as a singles Wild Card after the Qualifying competition has commenced.

J. Lucky Losers/Alternates

Vacancies shall be filled with substitutes in accordance with the following:

1. On the Day of the Qualifying Competition:

- a) Extra eligible Wild Cards as decided by the Tournament Organizer up to 1 hour one prior to play.
- b) All Wild Cards must have an account and meet the eligibility criteria.

2. After the Completion of the Qualifying Competition:



If after the completion of the qualifying competition there are vacancies in the Main Draw, the Referee will alert UT to the withdrawal and the Referee will fill the Main Draw with Lucky Losers in order as detailed above. It shall be assumed all players who lost in the last round of Qualifying will want to take a position in the Main Draw. Therefore, it is the player's responsibility to inform the Referee at the end of their match if they do not want to be considered for a Lucky Loser position.

K. Sign-In Requirements for Substitutes

1. Lucky Losers have the option of signing in each day before the start of play for an opportunity to compete in any eligible open position in the draw. Players wishing to Sign-In for an opportunity must be present and Sign-In 30 minutes prior to the scheduled start of play with the Referee. Eligible players must sign and re-sign the appropriate list each day before the start of play.

When the last round of Qualifying and the first round of Main Draw are played on the same day, Lucky Losers must Sign-In no later than 1 hour after the completion of the last Qualifying match. It is the player's responsibility to contact the Referee with any questions about the time and location of the Sign-In Deadline.

2. Lucky Losers must be ready to play in proper tennis attire within a total time of five (5) minutes after the announcement of a vacancy. Matches must commence without delay after the selection of the Lucky Loser.
3. If the eligible Lucky Loser is not available according to paragraph 2 above, they shall be placed at the bottom of the Lucky Loser priority list for that day. If a player does not sign up for Lucky Loser status one day, they may still sign up on the next day.

L. Late Cancellations

In the event that a Tournament is cancelled after the Registration Deadline, players accepted into that Tournament may, if eligible, at the UT's discretion, be placed as "next in" on the Main Draw or Qualifying Acceptance List, as appropriate, of another Tournament taking place in the same region in the same week.

VIII. World Cup Draws

All PTT singles Main Draw shall consist of either 20 or 32 players. The Qualifying draw shall consist of 8 players.



A. Composition of Draws

The 20 or 32 draws shall be composed as detailed in the Tournament Format document or as described in the PTT Tournament's event overview. All Direct Acceptances will be based upon PTT Ranking/UTR Rating.

B. Seeds

Each Tournament will have a seeded draw with seeds determined as follows:

1. Seeding List

Tournament seedings will not be official until the draw is made.

In Singles, seeding shall be based on fully reliable UTR ratings at the time of making the draw.

C. Making the Draw

All draws shall be made by the Referee and UT.

1. Time of Release

a) Qualifying - No later than 19:00 hours (7:00pm) local time on the day before the start of play

b) Main Draw - No later than 19:00 hours (7:00pm) on the day before the Main Draw starts and the Order of Play must be posted no later than one hour after the draw has been made.

2. Method of Draw

The draw shall be made as follows:

a) Qualifying draw

i) Sections- The Qualifying Draw shall be made in sections, with one section for each place allotted in the Main Draw. The top 4 players based on fully reliable UTR will be placed at the top of each section.

b) Qualifiers/Lucky Losers

At the conclusion of the Qualifying competition, the successful Qualifiers will be randomly drawn for their places in the Main Draw. There shall be no prior designation of which Qualifying section applies to which Qualifying place in the Main Draw.



Likewise, when more than one eligible Lucky Loser is to be inserted into the Main Draw, their positions shall be drawn.

If the Qualifying event is not completed at the time of the draw, then the places for the Qualifiers and Lucky Losers (if any) shall be designated as “Qualifier” or “Qualifier/Lucky Loser” with their identity to be determined by draw at the conclusion of the Qualifying event. The Lucky Losers shall be drawn together with the Qualifiers.

If there are not enough Lucky Losers or Alternates to fill the withdrawals in the main draw, a bye will be placed into the group with the highest seed and all players in the group will be given a bye against the vacated spot.

c) Main Draw

The PTT Main Draws will be composed of group stages leading into playoff rounds.

Each group will consist of one player randomly selected from ranking bands dividing the overall number of players by the overall number of groups.

The playoff rounds will be filled by players depending on their group stage results.

For specific information on each main draw, please refer to www.universaltennis.com and in the tournament event details as shown on <https://www.universaltennis.com>.

D. Withdrawal and Replacement of Seeds

Any vacancy created by the withdrawal of a seed prior to the release of the first order of play on Day 1 of the Round Robin phase shall be filled by the next highest ranked player in the draw. That spot will be filled by the next highest ranked player in the subsequent band and so on until the last replacement will be filled by an eligible Lucky Loser.

Any vacancy created by withdrawal after the start of the Main Draw will be replaced by an eligible Lucky Loser.

E. PTT Format and Tiebreaker Advancement

The group/round robin stages will run from Sunday or Monday to Wednesday with the possibility to move matches into Thursday based on inclement weather. All matches will be best of 3 tie break sets with regular scoring. The following criteria is used to determine the final round robin group standings and resolve any ties.

1. Greatest Number of Wins
2. Head to Head result if only two players are tied



3. % of sets won
4. % of games won
5. Overall UTR at the beginning of tournament play
6. Coin toss

The standings, updated after every match, will determine advancement.

The Playoff Rounds will run from Thursday to Sunday with the possibility of starting matches on Friday based on inclement weather. All matches will be best of 3 tie break sets with regular scoring.

F. Lucky Loser Replacement and Advancement Scenarios

An eligible Lucky Loser may replace an injured player in the group stages, even after the event has started. The record of the Lucky Loser would start from scratch and the above advancement tiebreakers would apply.

IX. PLAYERS' REST

A. Between Tournaments

It is the players responsibility to contact the Tournament Organizer and Referee for all scheduling information and requests. The Tournament Organizer and Referee shall give consideration to all requests, while adhering to the overall schedule of matches.

B. Between Qualifying and Main Draw

Other than in exceptional circumstances, no player shall be required to play his first-round match in the singles Main Draw until at least two (2) hours when possible after the completion of his final Qualifying match.

C. Between Matches

In the Main Draw, except when weather or other unavoidable circumstances causes schedule disruption, players shall be scheduled for a maximum of one (1) singles match per day, which shall not be scheduled less than twelve (12) hours after the completion of the last match of such player on the preceding day or round.



X. ORDER OF PLAY AND SUBMISSION OF RESULTS

A. Scheduling and Order of Play

1. Release of the Order of Play

Once the Referee determines the following day's Order of Play, it shall be released both on-site and via the PTT tournament page no later than one hour after the end of play, and earlier if possible. Players are expected to check the official Order of Play. Players should be aware that the last match on any court may be moved to a different court or rescheduled.

2. Failure to complete Tournament

If a PTT tournament cannot be completed within the Tournament Week because of bad weather, at the option of the Tournament Organizer one extra day shall be allowed. The Tournament Organizer and Referee shall seek approval from UTR for match times on the extra day, which shall then be scheduled during the morning or early afternoon. No further extension of the Tournament shall be permitted without the approval of UT.

B. Results

At the end of each day's play at PTT tournaments, including the completion of the tournament, the Referee shall submit, using the UT application, updated tournament results for Main Draw and Qualifying. Only results occurring on that day shall be submitted.

XI. DATA RIGHTS

A. Scoring

UT will have the exclusive right to provide a scoring service at any PTT event. Each Tournament Organizer will assist UT in its efforts to produce the service.

B. Protection of Tournament Data

Each Tournament shall not allow or authorize the dissemination, transmission, publication or release of any match score or related statistical data from the grounds of the Tournament.

The use of laptop computers, mobile phones or other handheld electronic devices to collate, collect, use, store, reproduce, onward supply or make available any Official Data for purposes relating to gambling or betting within the confines of the Tournament match courts (spectator areas) and the immediate tournament precinct shall be prohibited and each Tournament shall take reasonable steps to enforce



such prohibition (including without limitation by means of venue and spectator regulations, ticket conditions and accreditation terms). The exception to this provision is approved Tournament credentialed personnel when used in the performance of their duties.

XII. PRIZE MONEY

A. Prize Money Distribution

The prize money distribution for the UTR will be as follows:

PTT 20 Draw	Prize Money	PTT 32 Draw	Prize Money
1	\$3,600.00	1	\$3,475.00
2	\$2,075.00	2	\$1750.00
3-4	\$1,225.00	3-4	\$925.00
5	\$1,225.00	5-8	\$725.00
6	\$1,050.00	9	\$725.00
7-8	\$950.00	10	\$650.00
9	\$950.00	11-12	\$550.00
10	\$850.00	13-16	\$500.00
11-12	\$750.00	17	\$500.00
13	\$750.00	18	\$450.00
14	\$650.00	19-20	\$400.00
15-16	\$575.00	21-24	\$350.00
17	\$575.00	25-32	\$300.00
18	\$475.00		
19-20	\$400.00		
TOTAL:	\$20,000		\$20,000

B. Uncompleted Matches

If a PTT tournament is cancelled due specifically to poor weather, and there has been no play, the lowest band of prize money will be paid out to all players. If play has commenced and been terminated before the tournament is concluded players will be paid loser's prize money for the individual round reached.

Prize Money shall be paid only for matches played. For purposes of this section a match is played when it is won as a result of a Retirement, Default, Walkover or No Show.



Except as otherwise agreed, If a PTT tournament is officially cancelled during the final(s) and the final(s) have not been completed, the finalists will each receive finalists' prize money. Any remaining difference between the winner and finalist prize money will revert back to UT.

C. Defaults, Byes, Withdrawals and Retirements

Prize money will be awarded as follows:

1. General

- a) No prize money will be awarded to a player who withdraws or is a "No Show" without playing their first match.
- b) A match won by retirement, default or walkover will count as a match won in standings and will thus count for subsequent prize money.

2. Defaults

Any player or team who is defaulted shall lose all prize money earned for that event at that Tournament, unless:

- i) The player was defaulted for a violation of punctuality or dress and equipment.
- ii) The player was defaulted as a result of a medical condition.

3. Withdrawals and Retirements and Reduction in Prize Money

During the Round Robin Group Stage, if a player withdraws or retires at any point during the round-robin stage, they will receive \$100 per match they played. The alternate or lucky-loser who replaces them will receive \$100 per match they play in the round-robin stage, plus any additional winnings they earn based on their own round-robin and playoff performance.

4. Payments of Prize Money

Code Violations and/or any other integrity related violations that have a monetary fine imposed will be either deducted from the players prize money earned, deducted from the players next PTT event or withheld/forfeited depending on the nature of the violation. The player will be notified by written correspondence. Should a player have already been paid prize money for their event, they may also receive an invoice requesting payment for the violation by the UT Payments Team.

Payment of prize money can also be withheld in the following circumstances:

1. Whilst an internal review is being conducted by UT into any violation of the Code or the Rules



2. The player has submitted incomplete payment documentation (tax identification number and similar) to the Payments Team
3. The player has provided incorrect banking information.

20 Draw Format

Alternates Qualifies for	Minimum Prize Money	Withdrawing player receives	Alternate player receives from the group stage (alternate is eligible for any further money based on performance)
5th Place Playoff	\$400	1 match played = \$100 2 matches played = \$200 3 matches played = \$300	1 match played = \$100 2 matches played = \$200 3 matches played = \$300 ADDITIONAL WINNINGS No Playoff Win = \$0 1 Playoff Win = \$75 2 Playoff Wins = \$175
4th Place Playoff	\$575	1 match played = \$100 2 matches played = \$200 3 matches played = \$300	1 match played = \$100 2 matches played = \$200 3 matches played = \$300 ADDITIONAL WINNINGS No Playoff Win = \$175 1 Playoff Win = \$250 2 Playoff Wins = \$350
3rd Place Playoff	\$750	1 match played = \$100 2 matches played = \$200 3 matches played = \$300	1 match played = \$100 2 matches played = \$200 3 matches played = \$300 ADDITIONAL WINNINGS No Playoff Win = \$350 1 Playoff Win = \$450 2 Playoff Wins = \$550
2nd Place Playoff	\$950	1 match played = \$100 2 matches played = \$200 3 matches played = \$300	1 match played = \$100 2 matches played = \$200 3 matches played = \$300 ADDITIONAL WINNINGS No Playoff Win = \$550 1 Playoff Win = \$650 2 Playoff Wins = \$825
1st Place Playoff	\$1225	1 match played = \$100 2 matches played = \$200 3 matches played = \$300	1 match played = \$100 2 matches played = \$200 3 matches played = \$300 ADDITIONAL WINNINGS No Playoff Win = \$825 1 Playoff Win = \$1,675 2 Playoff Wins = \$3,200



32 Draw Format

Alternates Qualifies for	Minimum Prize Money	Withdrawing player receives	Alternate player receives from the group stage (alternate is eligible for any further money based on performance)
Alternate NQ for Playoff	\$300	1 match played = \$100 2 matches played = \$200	1 match played = \$100 2 matches played = \$200
3rd Place Playoff	\$350	1 match played = \$100 2 matches played = \$200	1 match played = \$100 2 matches played = \$200 ADDITIONAL WINNINGS No Playoff Win = \$50 1 Playoff Win = \$100 2 Playoff Wins = \$150 3 Playoff Wins = \$200
2nd Place Playoff	\$500	1 match played = \$100 2 matches played = \$200	1 match played = \$100 2 matches played = \$200 ADDITIONAL WINNINGS No Playoff Win = \$200 1 Playoff Win = \$250 2 Playoff Wins = \$350 3 Playoff Wins = \$425
1st Place Playoff	\$725	1 match played = \$100 2 matches played = \$200	1 match played = \$100 2 matches played = \$200 ADDITIONAL WINNINGS No Playoff Win = \$425 1 Playoff Win = \$625 2 Playoff Wins = \$1,450 3 Playoff Wins = \$3,300

During the Playoff Stage, a player that withdraws from a positional semi-final match prior to the commencement of that match is only eligible to receive the prize money amount associated with four places lower than their final position (i.e. if a player's final position following the withdrawal out of their semi-final is 3rd/4th place, they will receive prize money equivalent to the 7th/8th position with the same holding true for all lower band positional play-off draws).

A player that withdraws from a positional final match prior to the commencement of that match is only eligible to receive the prize money amount associated with four places (20 Draw) or two places (32 Draw) lower than their final position (i.e. if a player's final position following the withdrawal out of their final is 2nd place, they will receive prize money equivalent to the 6th position with the same holding true for all lower band positional play-off draws). See tables below.

In the 5th playoff draw (20 Draw), players are only eligible to receive 75% of the prize money attributable to their current position at the time of the withdrawal. (i.e. 75% of \$400 for semifinalists.)



Any player competing with less than Best Efforts will be subject to penalties in accordance with the Code of Conduct including Referee authority to default a player.

20 Draw Format

Group Finish	Position	Reduced Position	Prize Money	SF Prize Money Reduced	Final Prize Money Reduced
1st	1		\$3,600.00		
	2	6	\$2,075.00	\$950	\$1,050
	3-4	7-8	\$1,225.00		
2nd	5		\$1,225.00		
	6	10	\$1,050.00	\$750	\$850
	7-8	11-12	\$950.00		
3rd	9		\$950.00		
	10	14	\$850.00	\$575	\$650
	11-12	15-16	\$750.00		
4th	13		\$750.00		
	14	18	\$650.00	\$400	\$475
	15-16	19-20	\$575.00		
5th	17		\$575.00		
	18		\$475.00	\$300	\$356.25
	19-20		\$400.00		



32 Draw Format

Group Finish	Position	Reduced Position	Prize Money	QF Prize Money Reduced	SF Prize Money Reduced	Final Prize Money Reduced
1st	1		\$3,475.00			
	2		\$1,750.00	\$550	\$725	\$925
	3-4	5-8	\$925.00			
	5-8	11-12	\$725.00			
2nd	9		\$725.00			
	10		\$650.00	\$400	\$500	\$550
	11-12	13-16	\$550.00			
	13-16	19-20	\$500.00			
3rd	17		\$500.00			
	18		\$450.00	\$263	\$350	\$400
	19-20	21-24	\$400.00			
	21-24	21-24 (75%)	\$350.00			
4-5	25-32		\$300.00			

Appendix A: Mandatory Staffing Roles

1. Tournament Director
2. Referee
3. 2 Chair Umpires per court, nationally certified
4. Physiotherapist/Trainer (onsite)
5. Tournament Doctor (on call)
6. Staff/Volunteer as needed for check-in, health checks or other
7. Cleaning Personnel