UTR PRO TENNIS TOUR CODE OF CONDUCT

EFFECTIVE: December 19, 2025 to December 31, 2026 unless revised earlier

Last updated: September 10, 2026

ARTICLE I: GENERAL

All references to "UTR" in these Regulations shall mean Universal Tennis LLC and "PTT" shall mean UTR Pro Tennis Tour.

References in this Code of Conduct ("Code") to *event* or *tournament* are defined as the same.

References in this Code to the "Committee" shall hereafter mean the UTR Pro Tennis Tour Executive Committee.

For the purposes of this Code "Related Person" is defined as any coach, trainer, therapist, physician, management representative, agent, family member, tournament guest, business associate or other affiliate or associate of any player, or any other person who attends, or receives accreditation at a PTT tournament at the request of, or on behalf of, the player or any other Related Person.

A. PURPOSE

UTR promulgates this Code of Conduct ("Code") in order to maintain fair and reasonable standards of conduct by players, Related Persons and the organizers of PTT tournaments, and to protect their respective rights, the rights of the public and the integrity of the sport of tennis.

The domestic code of conduct from the National Association of the host country will be added to, or supersede, the Code when applicable.

Mutual Recognition: Any penalty or sanction imposed upon a player under this Code may be recognized and respected by the Associations or Federations, both at a State and/or National level. The same applies should a player be imposed a penalty or sanction from their affiliated Federation, College (or similar institution), National Association or Sport Controlling Body, Government Regulator, or Global Regulator (such as World Anti Doping Agency). UTR will review and consider any sanction or penalty from outside the PTT and apply the same or similar sanction or penalty to the player which may restrict their eligibility to participate in the PTT.

B. EXCLUSIVE APPLICABILITY

This Code shall be the exclusive basis for disciplinary action against any player, Related Person or tournament in the PTT, except to the extent that disciplinary jurisdiction is established in relation to such

tournaments under (I) the Tennis Anti-Doping Program (TADP); (ii) the Tennis Anti-Corruption Program (TACP); and/or (iii) the Welfare Policy.[1]

C. FINES

All monetary fines set forth in the Code are in US Dollars.

A player who has accumulated $1000 or more in unpaid fines for violations of the Code may not be allowed to enter and play in any UTR Sports related tournament until such time as all fines have been paid.

Should UTR allow a player to participate in an event with an outstanding fine, UTR reserves the right to withhold any prize money until the fine has been paid in full.

If a player refuses to pay the fine, the player will be provisionally suspended from the PTT or any UTR Sports related activity until such time the payment is made. The Executive Committee has complete discretion as to the length of the provisional suspension for an unpaid fine.

D. SUSPENSION

Some offenses, including unexcused withdrawals and major offenses, may carry suspensions with them at the discretion of UTR. Appeals of suspensions can be made to the Executive Committee.

A suspended player will not be permitted to register for any event until the suspension period is completed. A suspended player may also not receive marketing communication or other correspondence from UTR at UTR's discretion. If a suspension includes a fine, even with the suspension period ending, the fine must be paid in full prior to the player being eligible to enter another event.

At the end of a suspension period, a suspended player may request to reapply to participate on the PTT. This application will be reviewed by the Executive Committee and the player will be notified by written correspondence of the outcome. UTR reserves the right to continue the suspension, for any period of time after a request, and this decision by the Executive Committee is not appealable.

A player who has been suspended by their Federation or National Association, and UTR are made aware of this suspension, the player will not be permitted to participate in any PTT event until the suspension period has expired. If UTR are made aware of the suspension during an event, the player will be withdrawn immediately and any prize money will be forfeited. If a player is suspended during an event they are not permitted to enter the event precinct under any circumstances with the only exception being to collect any personal items held within the event precinct.

[1] UTR does not have a formal relationship or partnership with the International Tennis Integrity Agency (ITIA), who established the Tennis Anti-Corruption Program (TACP) and Tennis Anti-Doping Program (TADP), however all suspensions and penalties imposed by the ITIA for violations of the TACP and TADP will extend to all PTT tournaments.

Where the suspension includes a fine, UTR reserves the right to deduct the fine from any prize money earned, invoice the player or Related Person of the fine separately to any monies owing, or deduct the fine from any future payments by UTR. A player may also have any prize money earned or owing forfeited. This is at the sole discretion of UTR. In the instance of a successful appeal and prize money that has been withheld or forfeited, UTR will payout the player as soon as practicable. This is strictly for the amount owing only from the event the Player was suspended from. UTR are not liable for any other expenses incurred by the player to and from the event such as travel, accommodation and other associated expenses. Payment of a fine does not guarantee in any way that the suspension period will be reduced or withdrawn. Any registration fees for upcoming UTR PTT events will also be forfeited in the event of a suspension.

In light of the above, a player is also responsible for maintaining the status of any subscriptions they have with UTR. Any renewal payments (Power Subscription) which occur after a player has been suspended will not be refunded.

E. REFEREE

The approved Referee onsite for each PTT tournament shall be the final authority for the interpretation of the PTT Rules and Regulations, this Code and the Rules of Tennis as to all matters arising that require immediate resolution at the tournament site. The Referee may also be requested to submit match reports upon request by any member of the Executive Committee.

ARTICLE II: ENTRY offenses

A. APPLICABILITY

This Article shall only apply to all PTT tournaments.

B. ENTRY OFFENSES

1. Late Withdrawal

Except as otherwise agreed, any withdrawal from an accepted player into the Main Draw or Qualifying that occurs after the Acceptance Lists are published shall be considered a Late Withdrawal and will be penalized.

The player will be subject to a fine up to $500.

Prior to the Freeze Deadline, players are allowed one free withdrawal and two excused medical withdrawals per calendar year with written email notice to UTR (ptt@utrsports.com). Whether a withdrawal is prior to or after the Freeze Deadline, a player **is not** permitted to play in any other event during the same event period where the dates overlap. **This includes when a player chooses to use their one free withdrawal.** Any event is defined as all club-level events and anything similar, up to and

including a professional event (ITF, including the qualifying rounds). A fine for Playing Another Event will be imposed and carries a higher penalty than a Late Withdrawal.

All withdrawals after the registration closing date will result in forfeiture of the player's entry fee. There are no exceptions to this provision. This includes requested withdrawals as the result of an approved medical certificate being provided to UTR.

After the Freeze Deadline, any player accepted into the singles Main Draw or Qualifying (either by direct acceptance or a wild card) who withdraws prior to the first match of the event, **must** provide a medical certificate via email to UTR using email ptt@utrsports.com. This is the only accepted method of receiving a request to withdraw. Requests **must not** be sent directly to the Tournament Director, sent via the UTR Sports messaging platform, by mobile phone or any other means. Players are allowed two (2) excused medical withdrawals per calendar year, inclusive of the withdrawals prior to the Freeze Deadline and vice versa. Any withdrawal not meeting the above criteria will result in a fine up to $750. For medical withdrawals once the event has commenced, refer to On-site Medical Withdrawal below.

After the Freeze Deadline, any player accepted into the singles Main Draw or Qualifying who withdraws or fails to appear for the Tournament without notifying UTR of their withdrawal and without submitting their official medical withdrawal, shall be subject to the penalties provided for No Show (Code Violation). Any withdrawal not meeting the above criteria will result in a fine up to $1,000. Once draws have been published, a player wishing to withdraw **must** follow the on-site medical withdrawal guidelines as detailed below in *Section 3. On-site Medical Withdrawal.*

Players who meet the medical exception for Late Withdrawal offenses written above **must** email UTR (ptt@utrsports.com) and provide the appropriate supporting documentation prior to the event beginning so the Late Withdrawal penalty can be removed from the player's Code record.

Players who have been subject to Automatic Withdrawals will not be fined.

2. No Show

A player will have committed a No Show offense if:

a) They are accepted into the Qualifying and fail to arrive onsite for their first match in the tournament without validly withdrawing from the Tournament in advance of their first round. The Referee may waive the No Show offense for a player who arrives onsite after the scheduled commencement time for their first match, and penalize them for the code violation of Punctuality instead.

b) They are accepted into the Main Draw and fail to arrive onsite for their first match in the tournament without validly withdrawing from the tournament in advance of their first round Main Draw match. The Referee may waive the No Show offense for a player who arrives onsite after the scheduled commencement time for their first match, and penalise them for the code violation of Punctuality instead.

A player that commits a No Show violation may not use an onsite medical certificate to appeal a penalty. It is the players responsibility to manage their own schedule and should any extraordinary circumstance prevent them from playing, the player **must** make every attempt to contact the Referee as soon as practicable. That also means that the player needs to provide UTR with the most up-to-date current and accurate contact information, including phone number and email address. If any contact information changes the player is to notify UTR as soon as practicable. A No Show violation can also be imposed prior to the commencement of **any** match where it is clear the violation of punctuality does not apply.

No Show offenses will result in fines up to $1000 as determined by the Executive Committee (in its sole discretion). If a player is fined the maximum amount of $1000 they will be provisionally suspended from all UTR until the fine is paid in full. In circumstances that are flagrant and particularly injurious to the success of a PTT tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of "Aggravated Behaviour".

3. On-Site Medical Withdrawal

A. Procedure

If a player is forced to retire or withdraw during a tournament for medical reasons, a medical certificate **must** be completed by the Tournament Doctor / Sports Physiotherapist / Trainer in coordination with the Referee verifying that the player is unfit to continue playing in the current tournament. The player **must** co-sign the document with the Trainer for it to be deemed completed. The player must get the approved medical documentation prior to their next scheduled match going on court. Violation of this section shall subject a player to a fine of up to $500.

A player that has endured a medical condition during the tournament week, but who completes their tournament matches, must obtain a medical certificate from the Tournament Doctor/Sports Physiotherapist/Trainer that can be used to withdraw from the following week's tournament without penalty, within 24 hours of completing their final match. A player is not permitted to request that a medical exemption or certificate commence from a future date with the exception of scheduled surgery and the date conflicts with their entry into the next event. Evidence of the scheduled surgery must be provided to UTR at the earliest convenience.

The date of the medical certificate is in effect from the moment the trainer/physio and the player co-signs the medical certificate. It is the players responsibility to ensure they have read and understood what the diagnosis is and also the recommended rest period being prescribed. A player who medically withdrew (retired) from an event **must** comply with the rest period stated by the On-site Trainer. A player found to be playing in another event during the rest period provided as a result of the withdrawal will be subject to an additional fine for Playing Another Event.

If the player wishes to use the medical certificate to withdraw from the following week's tournament then the player must inform UTR (ptt@utrsports.com) immediately after obtaining the medical

certificate . Violation of this section shall subject a player to a fine of up to $500.

A valid medical certificate will excuse a Late Withdrawal penalty for any subsequent tournament until the player next competes in any tennis event, provided that a withdrawal form for each tournament is submitted prior to the relevant Freeze Deadline. A player **must** email the medical certificate to ptt@utrsports.com for each subsequent tournament that the player wishes to use the medical certificate.

UTR recognizes there may be unique circumstances where a player may not be able to attend the event site to complete the medical. This will be reviewed by UTR on a case-by-case basis. Should the nature of the illness prevent the player from attending the event site, the player **must** provide a medical certificate within 24-48 hours from a Doctor. The medical certificate needs to be emailed to ptt@utrsports.com. Failure to do so may result in a fine at the sole discretion of UTR.

If a player has completed an Onsite Medical Withdrawal (or provided a medical certificate within the required timeframe) and the circumstances around the reason, or reasons for the withdrawal are suspected to be non-genuine, UTR reserves the right to initiate a review. At the completion of the review, if a player is believed to have obtained the medical (whether on or off site) under false pretences, the player will be subject to a fine up to $500.

B. Unapproved Medical Withdrawals

The Trainer/Physiotherapist is empowered to decline a player's medical withdrawal request and declare a player fit to play and continue in the event, exercising their discretion based on real-time observations and any additional pertinent details. In the instance that the player has not already retired from their match, should such a request be unapproved, the player nevertheless retains the autonomy to choose between continuing their participation or withdrawing from the event.

In instances where a player's withdrawal request is unapproved and they proceed with withdrawing, the Trainer/Physiotherapist will notify them of an applicable fine. This fine will be levied in addition to any deductions already in place at the moment of withdrawal. For minor players, this communication will occur in consultation with their parent or legal guardian at the earliest convenience.

The Referee must be informed of any unapproved medical withdrawals, as they hold the authority for the final decision in such instances.

If the player is deemed fit to play and the medical withdrawal is denied, the trainer is not liable for further injury if the player chooses to continue playing. Violation of this section shall subject a player to a fine of up to $500.

4. Withdrawals and Retirements and Reduction in Prize Money

The PTT Rules and Regulations has all the necessary information for prize money allocation for the different draw formats, including prize money tables and the regulations around withdrawals and retirements.

Every player who takes the court does so with the intention to complete their match. Players found to be taking the court, to then retire soon after the match has commenced may be found in breach of the Code for Failure to Complete Match if the medical opinion of the Trainer cannot be verified.

During the Round Robin Group Stage, if a player withdraws at any point during the round-robin stage, they will receive $100 for each match that was fully completed. A match that ends in a retirement, does not count as a fully completed match for the retiring player. If a player retires during the round robin stage they will receive 75% for the matches played. The alternate or lucky-loser who replaces them will receive $100 per match they play in the round-robin stage, plus any additional winnings they earn based on their own round-robin and playoff performance.

During the Playoff Stage, a player who withdraws (before the match begins) will receive 80% of their potential prize money. A player who retires during their playoff matches will receive 90% of the potential prize money to be earned.

Fines incurred from Code Violations or Integrity related matters will be deducted from any prize money earned. If the fine incurred is equal to the prize money earned, the player will receive no payment from the event. If the fine incurred is greater than the prize money earned, the player is required to pay the difference. Fines are also able to be carried over to the players next PTT event. UTR reserves the right to request payment be made by charging the card details held on file, issuing a credit memo to the player, or may choose to deduct the outstanding amount from the player's next PTT event. The provisions of *Article 1: C. Fines* apply.

C. WILD CARDS

No player or Related Person, directly or indirectly, shall offer, give, solicit, receive or accept, or agree to offer, give, solicit, receive or accept anything of value in exchange for a Wild Card. Violation of this section by a player or Related Person shall result in a fine of up to $5,000 and suspension for a period of time as determined by the Executive Committee. A player or Related Person may also be permanently banned from all UTR related activities should this violation be substantiated.

In circumstances that are flagrant and particularly injurious to the success of a PTT tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of Aggravated Behaviour.

D. DETERMINATION AND PENALTY

UTR, in consultation with the Referee shall make such an investigation as is reasonable to determine the facts regarding all entry offenses and upon determining that a violation has occurred shall specify the fine and/or other punishment therefore and where possible notify the player.

E. PAYMENT OF FINES

All fines levied for entry offenses shall be in accordance with *Article 1 C. Fines*.

F. FALSIFYING OFFICIAL INFORMATION

Should a player provide information to UTR that deems to be false, including but not limited to, documents pertaining to tournament entry and withdrawal (including details of accommodation, contact numbers and other relevant information) and Code appeals, the player shall be subject to a fine of up to $500 in addition to any other fines provided in the Code.

A player **must** provide their official date of birth to UTR. UTR also has the right to request a player produce a document that clearly verifies their date of birth. This information is essential so that it can be used for identification, age verification and other age-related eligibility requirements. If a player is found to have intentionally provided an incorrect date of birth to UTR for any reason, they shall be subject to a fine of up to $500.

In circumstances that are flagrant and particularly injurious to the success of the tournament, or are singularly egregious, a single violation of this section shall also constitute the Major offense of "Aggravated Behaviour."

G. APPEALS

Any player found to have committed an Entry Offense may, after paying all fines, appeal the offense and/or any fine imposed to the Executive Committee, which shall determine the matter (in its sole discretion). The appeal shall be made in writing over email (integrity@utrsports.com) and must be filed with UTR by 12:00pm American Eastern Standard Time within seven (7) days from the date the player is notified of the violation. The appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit.

Please note that the appeals made after seven (7) days following the notification to a player of an Entry offense, may not be appealed. The use of an amnesty may not be appealed.

ARTICLE III: ON-SITE OFFENSES

A. GENERAL

Every player shall, during all matches and at all times while within the precincts of the site conduct himself/herself in a professional manner. The provisions below shall apply to each player's conduct while within the precincts of each such site, and references to the site shall include tournament hotels, transport, all tournament facilities and locations of tournament functions or activities.

All of the below code violations can be applied after the last point of a match has been played. A player is bound by the conditions of these code violations until the players leave the tournament precinct.

B. SIGN-IN

All players **must** ensure they have completed the necessary requirements of sign-in prior to playing their first match. This includes, but not limited to, providing UTR accurate information relating to their place of residence for the duration of the event, contact telephone number, up to date and current email address and other information UTR deems necessary. Additional information such as any other guests attending the event in company of the player **must** be provided at the time of completing the sign-in. At a minimum, this **must** include the name, contact number and email address of the guest and their expected role at the event (parent, spectator, coach or similar).

Violation of this Section may subject a player to a fine up to $500.

C. PUNCTUALITY

Matches shall follow each other without delay in accordance with the announced order of play. The order of play shall be posted at a highly visible place in a general players' area as designated by the Referee and/or UTR.

Matches shall be called in accordance with the order of play using all available and reasonable means. Players shall be ready to play when their matches are called.

1. Any player not ready to play within ten (10) minutes after his match is called may be fined $50.
2. Any player not ready to play within fifteen (15) minutes after his match is called may be fined up to an additional $150 and shall be defaulted unless the Referee in their sole discretion, after consideration of all relevant circumstances, elects not to declare a default. The player may also be subject to the additional penalties.

This section applies only to those players who are or have been onsite or who have signed-in with the referee by telephone during the Tournament Week.

D. DRESS AND EQUIPMENT

Every player shall dress and present himself/herself for play in a professional manner. Clean and customarily acceptable tennis attire shall be worn.

Any player who violates this Section may be ordered by UTR, the Referee, or Chair Umpire to change his/her attire or equipment immediately. Failure of a player to comply with such order may result in an immediate default. UTR reserves the right to interpret the following rules so as to give effect to the intent and purposes of these Regulations.

1. **Unacceptable Attire**
   Sweatshirts, gym shorts, dress shirts, T-shirts or any other inappropriate attire shall not be worn during a match.

   a) Shoes
   Players are required to wear tennis shoes generally accepted as proper tennis attire. Shoes shall not cause damage to the court other than what is expected during the normal course of a match or practice. Damage to a court may be considered as physical or visible, which may include a shoe that leaves a mark beyond what is considered acceptable. UTR, the Referee and/or Chair Umpire has the authority to determine that a shoe does not meet these criteria and may order the player to change.

   (i) Grass Court Shoes
   At grass court tournaments no grass court shoes other than those with rubber soles, without heels, ribs, studs or coverings, shall be worn by players. Shoes with pimples or studs around the outside of the toes shall not be permitted. The foxing around the toes must be smooth.
   (ii) Clay Court Shoes
   Players are required to wear tennis shoes generally accepted for play on clay courts or granular surfaces. Grass court shoes shall not be worn during a match on clay courts.

2. **Identification**
   No identification shall be permitted on a player's clothing, products or equipment (with the exception of college logos) on court during a match or at any press conference or tournament ceremony, except as follows:

<u>Men's and Women's PTT tournaments</u>

Shirts/Jackets/Sweaters/Shorts/Skirts/Leggings
One (1) manufacturer's identification and/or one (1) commercial identification will be permitted.

Socks/Shoes
Manufacturer's identifications on each sock and on each shoe shall be permitted.

Racquet
Manufacturer's identifications on racquet and strings shall be permitted.

Hat, Headband or Wristband
One (1) manufacturer's identification and/or one (1) commercial identification will be permitted.

Bags, Towels, Other Equipment or Paraphernalia
Tennis equipment manufacturer's identifications, on each item plus two (2) separate commercial identifications on one (1) bag, neither of which exceeds six (6) square inches (39sq.cm) shall be permitted.

General
All identifications should be firmly attached at all points on the clothing or equipment.

No identification shall be permitted on player's clothing or equipment that promotes/displays betting companies, tobacco or e-cigarette products, hard liquor products, political activity or other categories deemed to be detrimental to the sport of tennis or UTR.

In the event the utilization of any of the foregoing permitted commercial identifications would violate any governmental regulation with respect to television, then the same shall be prohibited.

For the purposes of this rule, the manufacturer means the manufacturer of the clothing or equipment in question.

Fines
Any player who violates this Section and is not defaulted shall be subject to the following fines:

a. Unacceptable Attire
Violation of the provisions with respect to Unacceptable Attire shall result in a fine of up to $75;

- **Manufacturer's Identification**
  Violation of the provisions with respect to manufacturer's identifications shall result in a fine of up to $75;
- **Commercial Identification**
  Violation of the provisions with respect to commercial identifications shall result in a fine of up to $75;
- **Another Tennis Event**
  Violation of the provisions with respect to the name of an event shall result in a fine of up to $75**.**

E. START OF MATCH / TIME VIOLATION / DELAY OF GAME

Start of match

A maximum of sixty (60) seconds shall elapse from when the last player arrives to his/her seat on court until the players are ready for the pre-match meeting with the Chair Umpire. This will be followed immediately by the warm-up period, which will last no longer than five (5) minutes. At the end of the warm-up period the players have sixty (60) seconds to be ready to start the match.

During play

Following the expiration of the warm-up period play shall be continuous and a player shall not unreasonably delay a match for any cause.

A maximum of twenty-five (25) seconds shall elapse from the moment the ball goes out of play at the end of the point until the time the ball is struck for the first serve of the next point. If the serve is a fault, then the second serve must be struck by the server without delay.

When changing ends, a maximum of ninety (90) seconds shall elapse from the moment the ball goes out of play at the end of the game until the time the first serve is struck for the next game. If such first serve is a fault the second serve must be struck by the server without delay. However, after the first game of each set and during a tie-break, play shall be continuous, and the players shall change ends without a rest period.

At the conclusion of each set, regardless of the score, there shall be a set break of one hundred and twenty (120) seconds from the moment the ball goes out of play at the end of the set until the time the first serve is struck for the next set. If a set ends after an even number of games, there shall be no change of ends until after the first game of the next set.

The receiver shall play to the reasonable pace of the server and shall be ready to receive within a reasonable time of the server being ready. A Time Violation may be issued prior to the expiration of twenty-five (25) seconds if the receiver's actions are delaying the reasonable pace of the server.

The first violation of this Section, as either server or receiver, shall be penalized by a Time Violation - Warning and each subsequent violation, as either server or receiver, shall be penalized as follows:

- Server The Time Violation shall result in a "fault"
- Receiver The Time Violation shall result in a "point penalty"
  In each case, the Chair Umpire/Referee may determine that an extension of time is necessary and/or decide not to impose any penalty.
- When a violation is a result of a medical condition, refusal to play or not returning to the court within the allowed time a Code Violation (Delay of Game) penalty shall be assessed in accordance with the Point Penalty Schedule.

F. AUDIBLE OBSCENITY (AOb)

Players shall not use an audible obscenity within the precinct of the tournament site. Violation of this Section shall subject a player to a fine up to $100 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of "Aggravated Behaviour" and shall be subject to the additional penalties.

For the purposes of this Rule, audible obscenity is defined as the use of words commonly known and understood to be profane and uttered clearly and loudly enough to be heard by the Court Officials, Referee or spectators.

G. VISIBLE OBSCENITY (VOb)

Players shall not make obscene gestures of any kind within the precincts of the tournament site. Violation of this Section shall subject a player to a fine up to $100 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of "Aggravated Behaviour" and shall be subject to the additional penalties.

For the purposes of this Rule, visible obscenity is defined as the making of signs by a player with his hands and/or racquet or balls that commonly have an obscene meaning.

H. VERBAL ABUSE (VA)

Players shall not at any time directly or indirectly verbally abuse any official, opponent, sponsor, spectator or other person within the precincts of the tournament site.

Violation of this Section shall subject a player to a fine up to $150 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of "Aggravated Behaviour" and shall be subject to the additional penalties below.

For the purposes of this Rule, verbal abuse is defined as a statement about an official, opponent, sponsor, spectator or other person that implies dishonesty or is derogatory, insulting or otherwise abusive in any way.

I. PHYSICAL ABUSE (PhA)

Players shall not at any time physically abuse any official, opponent, spectator or other person within the precincts of the tournament site.

Violation of this Section shall subject a player to a fine up to $750 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of “Aggravated Behaviour” and shall be subject to the additional penalties.

For the purposes of this Rule, physical abuse is the unauthorized touching of an official, opponent, spectator or other person.

J. ABUSE OF BALLS (BA)

Players shall not violently, dangerously or with anger hit, kick or throw a tennis ball within the precincts of the tournament site except in the reasonable pursuit of a point during a match (including the warm-up). Violation of this Section shall subject a player to a fine up to $75 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule.

For the purposes of this Rule, abuse of balls is defined as intentionally hitting a ball out of the enclosure of the court, hitting a ball dangerously or recklessly within the court or hitting a ball with negligent disregard of the consequences.

K. ABUSE OF RACQUETS OR EQUIPMENT (RA)

Players shall not violently or with anger hit, kick or throw a racquet or other equipment within the precincts of the tournament site. Violation of this Section shall subject a player to a fine up to $75 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule.

For the purposes of this Rule, abuse of racquets or equipment is defined as intentionally and violently destroying or damaging racquets or equipment or intentionally and violently hitting the net, court, umpire’s chair or other fixture during a match out of anger or frustration. A code violation may also be given for Racquet Abuse after the match has been completed.

L. COACHING AND COACHES (CC)

Coaching is permitted in-line with the provisions included in the PTT Rules and Regulations and only at events where it has been approved by UTR. UTR in collaboration with the Referee will have sole discretion as to whether or not coaching is permitted at an event.

Coaches are still accountable for the behavioral expectations outlined below. These expectations are non-negotiable and include all events with or without Coaching.

For events where coaching is not permitted the below provisions still apply.

Players shall not receive coaching during a match. Communications of any kind, audible or visible, between a player and a coach may be construed as coaching. Players shall also prohibit their coaches from;

1. Using audible obscenity within the precincts of the tournament site;
2. Making obscene gestures of any kind within the precincts of the tournament site;
3. Verbally abusing any tournament staff, official, opponent, spectator or other person within the precincts of the tournament site;
4. Physically abusing any tournament staff, official, opponent, spectator or other person within the precincts of the tournament site; and
5. Giving, making, issuing, authorizing or endorsing any public statement within the precincts of the tournament site having, or designed to have, an effect prejudicial or detrimental to the best interest of the tournament and/or of the officiating thereof.

Violation of this Section shall subject a player to a fine up to $250 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule below. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this section shall also constitute the Major offense of “Aggravated Behaviour” and shall be subject to the additional penalties. The Referee or any other representative from UTR may direct the Coach to be removed from the courts or the tournament site precinct and the Referee may declare an immediate default of such player. The Coach can also be directed to leave the site by the Referee or any other representative from UTR. The Coach may face additional penalties including being ineligible to attend future PTT tournaments as directed by the Executive Committee. The Executive Committee has complete discretion as to what additional penalties will apply and cannot be appealed.

For the purposes of this Rule, a “Coach” shall also include any representative and/or relative of a player.

M. UNSPORTSMANLIKE CONDUCT (UnC)

Players must conduct themselves in a sportsmanlike manner at all times, showing proper respect to officials, opponents, spectators, and others. This expectation applies throughout the event precinct as well as in any UTR Sports-provided housing or accommodation (such as host hotels), transportation (such as event shuttle buses, rideshare or anything similar), and other event-related activities. Accordingly, code violations for unsportsmanlike conduct may be issued regardless of whether a player is actively competing in a match.

Violation of this Section shall subject a player to a fine up to $750 for each violation. In addition, if such violation occurs during a match (including the warm-up), the player shall be penalized in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of “Aggravated Behaviour” and shall be subject to the additional penalties.

For the purposes of this rule, unsportsmanlike conduct is defined as any misconduct by a player that is clearly abusive or detrimental to the event, UTR Sports, or the sport of tennis. This includes making public statements intended to prejudice or harm the best interests of the tournament or its officiating. Unsportsmanlike conduct also includes the prohibited use of electronic devices while on court during play, including during toilet and medical breaks.

A review may also be initiated by the Executive Committee where a match is brought under scrutiny in relation to any integrity related matter. UTR reserves the right to impose a code violation and a fine for this section, a provisional suspension or any other additional penalty deemed appropriate. This includes any penalty for a match where there has been no code violation issued by the Chair Umpire/Referee at the time.

N. BEST EFFORTS (BE)

A player shall always use their best efforts to win a match when competing. Violation of this section shall subject a player to a fine up to $1000 for each violation.

For purposes of this Rule, the Referee and/or the Chair Umpire shall have the authority to penalize a player in accordance with the Point Penalty Schedule. In circumstances that are flagrant and particularly injurious to the success of a tournament, or are singularly egregious, a single violation of this Section shall also constitute the Major offense of “Aggravated Behaviour” and shall be subject to the additional penalties.

A review may also be initiated by the Executive Committee where a match is brought under scrutiny in relation to any integrity related matter. A review can be conducted at any time, including post-match. UTR reserves the right to impose a code violation, a fine, warning, provisional suspension, suspension, a combination of the aforementioned or any other additional penalty deemed appropriate. This also includes any penalty for a match where there has been no code violation issued by the Chair Umpire/Referee at the time.

O. LEAVING THE COURT (LC)

A player shall not leave the court area during a match (including the warm-up) without the permission of the Chair Umpire. Violation of this Section shall subject a player to a fine up to $150 for each violation. In addition, the player may be defaulted and subjected to the additional penalties for Failure to Complete Match.

P. FAILURE TO COMPLETE MATCH OR TOURNAMENT (FCM)

A player must complete a match in progress, and complete the tournament, unless he/she is reasonably unable to do so. Violation of this Section shall subject a player to a fine up to $500.

In circumstances that are flagrant and particularly injurious to the success of the tournament, or are singularly egregious, a single violation of this Section shall also constitute a Major offense of "Aggravated Behaviour" and shall be subject to the additional penalties.

Q. CEREMONIES (Cer)

A player participating in the finals of a PTT tournament must attend and participate in the final ceremonies after the match unless he/she is reasonably unable to do so. Violation of this Section shall subject a player to a fine up to $150.

R. MEDIA CONFERENCE (MC)

If applicable, and unless injured and physically unable to appear, a player or team must attend the post-match media conference(s) organized immediately or within thirty (30) minutes after the conclusion of each match, including walkovers, whether the player or team was the winner or loser, unless such time is extended or otherwise modified by the Referee or UTR, for good cause. Violation of this Section shall subject a player to a fine up to $150.

S. POINT PENALTY SCHEDULE

The Point Penalty Schedule to be used for violations set forth above is as follows:

1. FIRST offense WARNING
2. SECOND offense POINT PENALTY
3. THIRD and each subsequent offense GAME PENALTY

However, after the third Code Violation, the Referee shall determine whether each subsequent offense shall constitute a default.

The imposition of a penalty under the Point Penalty Schedule shall be final and unappealable.

T. DEFAULTS

The Referee may declare a default for either a single violation of this Code (Immediate Default) or pursuant to the Point Penalty Schedule set out above. In all cases of default, the decision of the Referee shall be **final and unappealable**. Match Defaults may also attract additional penalties from the National Association/Federation and the provisions of mutual recognition apply.

Subject to the exceptions specified below, any player who is defaulted shall be subject to the following penalties:

a) loss of all prize money earned for that tournament;

b) $500 in addition to any or all other fines levied with respect to the offending incident; **and**

c) at UTR's final and unappealable discretion, suspension from the ability to play in future PTT tournaments. The suspension period will be determined on a case-by-case basis by the Executive Committee.

The exceptions to the additional penalties set out above in points (a), (b) and (c) are:

- the player was defaulted for a violation of the Punctuality or Dress and Equipment provisions; or
- being defaulted as a result of a medical condition.

Default of a player from the remainder of the tournament may include at UTR's, or the Referee's discretion, the removal of accreditation and/or denial of access to the site.

U. DETERMINATION AND PENALTY

The Referee shall make such investigation as is reasonable to determine the facts regarding all onsite offenses and upon determining that a violation has occurred shall specify the fine and/or other punishment and provide written notice to the player. UTR Sports may also impose a penalty or sanction notice in addition to, or on behalf of the Referee. The penalty or sanction notice can be imposed retrospectively and will be in consultation with the Referee.

V. PAYMENT OF FINES

If a player owes any fines and/or fees, then UTR shall deduct any unpaid fines and fees from a player's prize money. The same provisions as per *Article 1: C. Fines* apply for the onsite offenses aforementioned.

W. APPEALS

Any player found to have committed an onsite offense may, after paying all fines as provided above, appeal the determination of a violation and/or the punishment imposed (but not any point penalties or defaults) to the Executive Committee. The appeal shall be made in writing over email and must be submitted by 12:00pm American Eastern Standard Time within seven (7) days from the date the player is notified of the violation. The appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit. The decision of the Executive Committee is final and not appealable. If the onsite offense constitutes any elements of a major offense (aggravated behavior), then the process and any subsequent appeal will follow *Article IV: Major Offenses.*

ARTICLE IV: MAJOR OFFENSES

A. AGGRAVATED BEHAVIOUR

No player or Related Person at any PTT tournament shall engage in "Aggravated Behavior" which is defined as follows:

1. One or more incidents of behaviour designated in this Code as constituting "Aggravated Behaviour";
2. One incident of behaviour that is flagrant and particularly injurious or is singularly egregious
3. A series of two (2) or more violations of this Code within a twelve (12) month period which singularly do not constitute "Aggravated Behaviour", but when viewed together establish a pattern of conduct that is collectively egregious and is detrimental or injurious.

In addition, any player or Related Person who, directly or indirectly, offers or provides or receives any money, benefit or consideration to or from any other person or third party in exchange for access and/or accreditation to the tournament site shall be deemed to have engaged in Aggravated Behaviour and be in violation of this Section.

Violation of this Section by a player, directly or indirectly through a Related Person or others, shall subject a player to a fine of up to $5,000 or the amount of prize money won at the tournament, whichever is greater, and a maximum penalty of permanent suspension from play in any PTT tournament.

Violation of this Section shall subject a Related Person to a maximum penalty of permanent revocation of accreditation (if applicable) and denial of access to any PTT tournament or UTR event.

B. CONDUCT CONTRARY TO THE INTEGRITY OF THE GAME

No player or Related Person shall engage in conduct contrary to the integrity of the game of tennis. This includes, but is not limited to, best efforts, match-fixing, the provision or supply of non-public information that could be used for corrupt purposes (inside information) or any other conduct deemed by UTR to potentially damage the reputation of the game or the UTR brand. This also includes any conduct deemed Aggravated Behavior as defined above.

Any player found to have manipulated or attempted to manipulate a result or any part of a game for the financial benefit of self or others will be in breach of the Code and subject to penalties. Financial benefit includes any type of payment or consideration in any form of currency (including cryptocurrency). This also includes gifts or anything similar that could be substituted for financial or monetary payment.

If a player is convicted of the violation of a criminal or civil law of any country, the player may be deemed by virtue of such conviction to have engaged in conduct contrary to the integrity of the game of tennis and UTR may provisionally suspend such player from further participation in the PTT pending a final

determination from the Executive Committee. In addition, if a player has at any time behaved in a manner severely damaging to the reputation of the sport, the player may be deemed by virtue of such behaviour to have engaged in conduct contrary to the integrity of the game of tennis and be in violation of this Section. Violation of this Section by a player, directly or indirectly through a Related Person or others, shall subject a player to a fine up to $5,000 and/or to a maximum penalty of permanent suspension from play in any PTT tournament or UTR event.

UTR may forward any or all details of an investigation or any other matter alleged to have breached the Code if it is believed to have relevance and/or interest to the International Tennis Integrity Agency (ITIA) and their associated policies, guidelines and programmes of the sport. This includes personal details of the Player or Related Person, held by UTR and any details of the alleged violation. UTR reserves the right to share the above information with any other governing or regulatory body, law enforcement agency, recognised regulatory betting authority, betting operator, sport integrity unit or agency (who has authority to investigate matters of Tennis). This also includes any National Federation or Association of the sport where it is believed the alleged violation is of interest.

Violation of this Section shall subject a Related Person to a maximum penalty of permanent revocation of accreditation (if applicable) and denial of access to any PTT tournament or UTR event. Violation of this Section may also attract additional penalties from the National Association/Federation.

C. DETERMINATION AND PENALTY

UTR will investigate facts concerning any alleged Major Offense. All Players and Related Persons must cooperate fully with such investigations. UTR may make a written demand to a Player or Related Person (a "Demand") to furnish to UTR any information that may evidence or lead to the discovery of evidence of a Major offense, including (without limitation) requiring the Player or other Related Person to attend an interview and/or to provide a written statement setting forth his/her knowledge of the relevant facts and circumstances. The Player or Related Person must furnish such information within seven (7) business days of the making of such Demand, or within such other deadline as may be specified by UTR.

Where, as the result of an investigation, UTR forms the view that a Player or Related Person has a case to answer for the commission of a Major Offense, the matter shall be referred to the Executive Committee.

Where the penalty includes a fine, UTR reserves the right to deduct the fine from any prize money earned, invoice the Player or Related Person of the fine separately to any monies owing, or deduct the fine from any future payments by UTR. Any Player found to have breached this Article may also have any prize money earned or owing by UTR, forfeited. This is at the sole discretion of UTR. In the instance of a successful appeal and prize money that has been withheld or forfeited, UTR will payout the Player as soon as practicable. This is strictly for the amount owing only from the event the Player was suspended from. UTR is not liable for any other expenses incurred by the player to and from the event such as travel, accommodation and other associated expenses.

Provisional Suspension and Suspension

At the time, afterwards, or (exceptionally) before, UTR may impose a Provisional Suspension or Suspension on the Player or Related Person in question pending determination of the charge(s), where it considers it is necessary to protect the integrity and/or reputation of the competition, UTR, and/or the sport of tennis. The player will be advised in writing of the Provisional Suspension or Suspension that will detail the breaches of the Code, the PTT Rules and Regulations or any other UTR related policy and, the penalty or sanction applicable.

UTR may, subsequent to, or in exceptional circumstances, prior to the determination of the charge(s), impose a Provisional Suspension or Suspension upon the Player or Related Person in question. This action will be taken when UTR deems it necessary to safeguard the integrity and/or reputation of the competition, UTR as an organization, and/or the sport of tennis. The affected individual will receive written notification of the Provisional Suspension or Suspension, which will specify the breaches of the Code, the Pro Tennis Tour (PTT) Rules and Regulations, or any other pertinent UTR-related policy, along with the applicable penalty or sanction.

Where a Provisional Suspension or Suspension is imposed, UTR shall notify the Player or Related Person of his/her right at their election, to make an application with supplying evidence or documentation to the Executive Committee to have it appealed. This will follow the same process as detailed in “Section E. Appeals”.

A Player or Related Person may not, during the period of any Provisional Suspension or Suspension, play, coach, attend or otherwise participate in any capacity in any PTT tournament or UTR event.

A Provisional Suspension or Suspension may be levied without requiring a formal response from the Player or Related Person, subsequent to the completion of an internal review by UTR regarding the alleged breach. In such an instance, UTR will assess the material evidence available at the time and, upon determining there is a reasonable suspicion that the integrity of a match was or is currently compromised, may impose a suspension period at its sole discretion. It is important to note that UTR is not obligated to prove beyond reasonable doubt that an offense has occurred to enact a provisional suspension. A Player or Related Person may be provisionally suspended for an indefinite period; that is, the suspension remains ongoing. UTR will formally notify the Player or Related Person should the suspension period be reduced, amended, or withdrawn (e.g., in the event of a successful appeal lodged by the Player or Related Person).

Where a suspension period has been imposed, the player will be notified in writing of the length of the suspension period. During this period, like a provisional suspension, a player will not be eligible to enter or play in any UTR Sports related activity. If a player is found to be playing in any UTR Sports related activity, at UTR Sport’s discretion, the player may be removed without notice. At the end of the suspension period, a player may request a review from the Executive Committee to determine their eligibility to play on the PTT. The Committee has discretion to allow the player to return or extend the

suspension period to which is not appealable and this decision is final. If there are any fines owing by the player at the end of the suspension period, the fines must be paid in full prior to the suspension being removed.

D. PAYMENT OF FINES

All fines levied for Major offenses shall be paid by the player to UTR Sports within thirty (30) days after receipt of written notice thereof. If the fine is not paid in thirty (30) days UTR Sports will instruct:

a) the next PTT tournament to require the player to pay the entire fine prior to playing; or

b) withhold prize money due to the player until settlement is made.

If the player refuses to pay the fine, the player is ineligible to enter and play in any PTT tournament until the fine is paid in full. The player may also be provisionally suspended until a suitable arrangement can be made for payment. UTR has sole discretion in relation to the conditions of the payment owing and the player's eligibility to enter a PTT tournament. Payment of a fine does not guarantee that the suspension period will be reduced or withdrawn.

E. APPEALS

Any player found to have committed a Major Offense may appeal the determination of a violation and/or the punishment imposed (but not any point penalties or defaults) to the Executive Committee. The appeal must be made in writing by email and must be filed by 12:00pm American Eastern Standard Time, within seven (7) days from the date the player is notified of the violation or notified of the fact they can appeal. The appeal must include a statement by the player as to the facts and circumstances of the incident along with any other evidence the player wishes to submit. If a player intends to appeal and misses the deadline of seven (7) days to make a submission, there is no further opportunity to appeal.

All appeals are assessed on the basis of a player's written submissions by the Executive Committee. The player will be notified in writing of the determination within seven (7) days of the Executive Committee meeting. The final determination by the Executive Committee is not appealable and the matter is finalized. The final determination may include additional penalties such as fines or suspension, the withdrawal of penalties imposed or any other condition, order or sanction the Executive Committee deems appropriate in the circumstances.

The Executive Committee will meet on an as-needed basis when appropriate to assess any appeal/s. The timing of meetings is at the discretion of the Executive Committee. Players who have lodged an appeal will be informed in writing, upon request, a timeframe when the appeal will be assessed on the papers. If there is a change of date for any reason by the Executive Committee, the player will also be notified.

ARTICLE V: MEDICAL CONTROL – ANTI-DOPING POLICY

Any Player, Player Support Personnel or Related Person who enters or participates in the PTT shall be bound by and shall comply with all of the provisions of standard international tennis anti-doping programs and any anti-doping programs from their country of nationality.

ARTICLE VI: TOURNAMENT OFFENSES

A. APPLICABILITY

This Article shall apply to each PTT tournament and any Applicant for such an event. References in this Article to a "Tournament" shall hereafter mean a PTT tournament or applicant for such a tournament, and where applicable refers to the legal entity (personal or corporate) that is applying for, administering, operating or otherwise organizing the PTT tournament.

B. GUARANTEES

The owner(s), operator(s), sponsor(s) or agent(s) of a Tournament shall not offer, give or pay money or anything of value, nor shall such a tournament permit any other person or entity to offer, give or pay money or anything of value to a player, directly or indirectly, to influence or guarantee a player's appearance at a Tournament other than prize money and permitted amateur expenses, unless authorized to do so by UTR. Violation of this Section shall subject the tournament to a fine up to $5,000 plus the amount or value of any such payment, disqualification and removal from the PTT.

C. WILD CARDS

No Tournament, directly or indirectly, shall offer, give, solicit, receive or accept, or agree to offer, give, solicit, receive or accept anything of value in exchange for a Wild Card. Violation of this section shall subject the tournament to a fine of up to $5,000, and/or forfeiture of all sums, if any, previously paid to the UTR, and/or withdrawal from the PTT.

D. CONDUCT CONTRARY TO THE INTEGRITY OF THE GAME

No Tournament, or any owner, promoter or operator thereof, shall engage in conduct contrary to the integrity of the sport of tennis.

Violation of this Section shall subject the Tournament to a fine up to $5,000.

E. UTR PRO TENNIS TOUR RULES, STRUCTURE AND FORMAT

No Tournament shall violate any provisions of these PTT Rules, structure, and/or format. Violation of this Section shall subject the tournament to withdrawal from the PTT and a fine up to $5,000.

F. DETERMINATION AND PENALTY

UTR shall cause an investigation to be made of all facts concerning any alleged Tournament offense by a Tournament and shall provide written notice of such investigation to the Tournament involved. The Tournament must cooperate fully with such investigations.

UTR may make a written demand to a Tournament (a "Demand") to furnish to UTR any information that may evidence or lead to the discovery of evidence of a Tournament offense, including (without limitation) requiring the Tournament to attend an interview and/or to provide a written statement setting forth its knowledge of the relevant facts and circumstances. The Tournament must furnish such information within seven (7) business days of the making of such Demand, or within such other deadlines as may be specified by UTR.

ARTICLE VII: WELFARE

A. APPLICABILITY

Any coach, trainer, manager, agent, medical or para-medical personnel and/or family member, tournament guest, or other similar associate of any player (together “Player Support Team Member”), any player and any tournament personnel, such as an official, tournament director, referee, staff, volunteer, sponsor, health care provider, UTR staff member and members of the media (together “Credentialed Person”) shall conduct himself/herself in a professional manner at all times and shall be bound by and shall comply with this Welfare Policy. In this Welfare Policy, Player Support Team Members, players and Credentialed Persons shall be defined as “Covered Persons”.

B. COVERED PERSONS

A player must enter the names of all guests attending the event before their first match commences. All guests listed in the player check-in are defined as Covered Persons. In completing the player check-in form, the Covered Person has agreed to all of the terms below. It is the player's responsibility to provide the correct contact information of a Covered Person if requested by any UTR staff member. A Covered Person and/or Player must cooperate with any reasonable request for information relating to an allegation against this policy. Failure to do so may result in either the player or the Covered Person, or both, being sanctioned with a fine up to $1,000 and/or a provisional suspension. The fine amount and conditions of the suspension are at the complete discretion of UTR and cannot be appealed.

C. ELEMENTS OF POLICY

i. Application Covered Persons shall be familiar with, and must abide by, this Welfare Policy.
ii. Unfair and/or Discriminatory Conduct

a) Covered Persons shall not engage in unfair or unethical conduct including any attempt to injure, disable or intentionally interfere with the preparation or competition of any player.

b) Covered Persons shall not discriminate in the provision of services on the basis of race, ethnicity, gender, national origin, religion, age or sexual orientation.

D. ABUSE OF AUTHORITY AND ABUSIVE CONDUCT

a) Covered Persons shall not abuse his or her position of authority or control, shall not attempt to or compromise the psychological, physical or emotional wellbeing of any player, Covered Person, parent, spectator or member of the press/media.

b) Covered Persons shall not engage in abusive conduct, either physical or verbal, or threatening conduct or language directed toward any Covered Person, parent, spectator or member of the press/media. This includes abusive conduct towards any person/s (whether it be an employee, contractor or volunteer) of the host venue and/or Universal Tennis (for example, Chair Umpires and the Referee).

c) Covered Persons shall not exploit any player relationship to further personal, political or business interests at the expense of the best interests of the player.

E. SEXUAL CONDUCT

In order to prevent sexual abuse and the negative consequences resulting from the imbalance of a dual relationship, sexual conduct of any kind between any player and his/her Player Support Team Members and/or Credentialed Persons is discouraged.

In addition, the following conduct is specifically prohibited:

a) Covered Persons shall not make sexual advances towards, or have any sexual contact with, any player who is (i) under the age of 18, or (ii) under the age of legal majority in the jurisdiction where the conduct takes place or where the player resides.

b) Covered Persons shall not sexually abuse a player of any age. Sexual abuse is defined as the forcing of sexual activity by one person on another person (i) of diminished mental capacity; or (ii) by the use of physical force, threats, coercion, intimidation or undue influence.

c) Covered Persons shall not engage in sexual harassment - for example, by making unwelcome advances, requests for sexual favours or other verbal or physical conduct of a sexual nature where such conduct may create an intimidating, hostile or offensive environment.

d) Player Support Team Members and Credentialed Persons shall not share a hotel room with a player who is (1) under the age of 18, or (2) under the age of legal majority in the jurisdiction where the hotel is located or where the player resides, unless such Player Support Team Member or Credentialed Person is the player's parent or is related to the player and authorized in writing by the player’s parent. Penalties will apply to any underage player who is found to have violated this Hotel Room Policy. These penalties can include: forfeiture of prize money from the tournament(s) where the violation occurred and/or monetary fines equal to the amount of the hotel room rates for the tournament(s) where the violation

occurred. Such penalty shall be in addition to any penalties that may be imposed on the Player Support Team Member or Credentialed Person pursuant to sub-Section (b) below.

V. Criminal Conduct

Covered Persons shall comply with all relevant criminal laws. For greater certainty and without limiting the foregoing, this obligation is violated if a Covered Person has been convicted of or entered a plea of guilty or no contest to a criminal charge or indictment involving (a) an offense involving use, possession, distribution or intent to distribute illegal drugs or substances, (b) an offense involving sexual misconduct, harassment or abuse, or (c) an offense involving child abuse. Further, this obligation may be violated if a Covered Person has been convicted of or entered a plea of guilty or no contest to an offense that is a violation of any law specifically designed to protect minors.

VI. Anti-Doping Activity

Covered Persons shall not commit any offense under the terms of any professional tennis anti-doping program or aid, abet, counsel or procure in any way any person's offense under that program.

VII. Conduct in General

Covered Persons shall not conduct himself or herself in a manner that will reflect unfavourably on UTR, any tournament, event or circuit owned or sanctioned by UTR, any player, official or the game of tennis.

VIII. Violations/Procedures

i. Any individual who believes that a Covered Person has breached any element of the Welfare Policy may file a written complaint with UTR. That complaint shall identify the complainant and state specifically the nature of the alleged misconduct. Upon receipt of such a complaint, or if UTR itself considers that there has been an apparent violation of this Welfare Policy, UTR will investigate the matter. All Covered Persons must cooperate fully with any investigation.

ii. Upon request by UTR, the Executive Committee may provisionally suspend a Covered Person pending a final determination of the charge(s), where it considers it necessary to protect: (a) other participants, including players and Officials, who are or may be at risk of harm (physical, emotional and/or psychological) from the Covered Person's alleged conduct; and/or

(b) the integrity and/or reputation of the PTT, UTR and/or the sport of tennis.

iii. Upon review of the matter and, where appropriate, additional investigation, UTR may determine that the matter requires further action. If so, after notifying the accused individual of the charge(s) it shall refer the matter to the Executive Committee. After giving the accused individual the opportunity to present his or her views in writing, the Executive Committee may impose appropriate sanctions including (a) denial of privileges, suspension or exclusion of the person in

question from any or all PTT tournaments, or (b) such other sanctions including monetary sanctions as the Executive Committee may deem appropriate.

iv. The Executive Committee reserves the right to extend to any or all PTT tournaments a suspension or other disciplinary action taken against a Covered Person by a National or Regional Association or other tennis organization such as the Women's Tennis Association, Association of Tennis Professionals, International Tennis Federation or a conviction or plea of guilty or no contest to a criminal charge or indictment as set out in the section above. The Executive Committee reserves the right to share information concerning a complaint with and/or conduct an investigation in conjunction with any tennis organization as specified above. UTR may also refer to the complaint and/or any information received during the course of investigating an allegation or prosecuting a charge to any authorities it considers appropriate. UTR shall have the absolute discretion, where it deems appropriate, to stay its own investigation pending the outcome of investigations being conducted by other tennis organizations as specified above and/or relevant authorities. Decisions of the Executive Committee above may be not appealed.

ARTICLE IX: INTEGRITY TRAINING COMPLIANCE

A player who has signed a Player Agreement and agreed to all of the conditions to participate in the PTT are required to adhere to the following:

a) Must complete the UTR PTT Integrity training module once every calendar year or as directed by UTR to remain compliant. The training module is provided to the player by UTR.
b) Complete any other integrity related training as directed by UTR.
c) Must also agree not to wager or bet on any tennis event anywhere in the world for the duration of the Player Agreement.

Failure to comply with the above provisions will result in sanctions including, but not limited to, ineligibility to register for a PTT event, fines, suspension or being permanently banned from the PTT. Payment of prize money may also be withheld until the Integrity Training is completed. If a player is requested to complete any integrity-related training prior to an event, and prior to or at the commencement of the event, the training is not completed, UTR may withdraw the player from the event. UTR are not liable for any expenses incurred to the player as a result of being withdrawn from the event.

ARTICLE X: SOCIAL MEDIA - RESPONSIBLE USE

Social media provides PTT stakeholders (Players, Covered Persons and Related Persons) with opportunities to express their ideas. It is imperative that stakeholders need to use judgment about what type of material appears online and in what context. UTR has zero tolerance to social media bullying and harassment of players on the tour. The below is a non-exhaustive list of conduct deemed a breach of this clause and is determined as misconduct:

- Bullying, harassing or threatening social media posts;
- Discriminatory, racist, homophobic or sexist language in social media posts;
- Impersonating or falsely representing any other person, including UTR or another stakeholder;
- The disclosure or use of information that is confidential to UTR;
- Slanderous, defamatory, or libel comments about UTR (including all staff) or a stakeholder;
- Spreading false or malicious information about UTR or a stakeholder
- Improperly using UTR's branding without authorisation;
- Posting information that brings, or in UTR's reasonable opinion may be likely to bring, UTR, tennis, executive or staff into disrepute, or otherwise adversely affect or in UTR's reasonable opinion may be likely to adversely affect the image or reputation of UTR, tennis, executive or staff.

Where UTR becomes aware of any misconduct, UTR may investigate and do the following:

- Request the offending posts or materials are removed;
- Direct the maker of the comments to apologise, or make a necessary public comment such as a correction, clarification or contradiction;
- Report any breach of any law to any local authority or wronged party;
- Process misconduct as per the provisions of Conduct Contrary to the Integrity of the Game or the Welfare Policy; and/or
- Exercise any of its available rights at law.

Failure to comply with the above may result in sanctions including, but not limited to, ineligibility to register for a PTT event, fines, suspension or being permanently banned from the PTT and subsequently the UTR Sports platform. Violation of this Section shall subject the Player, Covered Person, Related Person to a fine up to $1,000.

FINES GUIDELINES FOR UTR PTT TOURNAMENTS

Withdrawals

- Late Withdrawals Prior to Freeze Deadline - $500 and up to a one (1) month suspension from all UTR events
- Late Withdrawals After Freeze Deadline - $750 and up to a one (1) month suspension from all UTR events
- No Show - up to $1,000 and up to a two (2) month suspension from all UTR events
- Playing Another Event - up to $1,000 and up to a two (2) month suspension from all UTR events

offense	Minimum	Maximum
COVID/Health and Safety Guideline	$200	$200
Sign-In	$50	$500
Punctuality	$50	$150
Audible/Visual Obscenity	$50	$100
Verbal Abuse	$75	$150
Ball/Racquet Abuse	$50	$75
Physical Abuse	$500	$750
Coaching	$75	$250
Unsportsmanlike Conduct	$75	$750
Dress/Equipment	$50	$75
Best Efforts	$250	$1000
Leaving the Court	$75	$150
Failure to Complete Match/Tournament	$150	$500
Ceremonies/Media	$50	$150
Default	$500 on top of any of the above	